IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Junji Yamauchi, et al.

Examiner:

Unassigned

Serial No:

To be assigned

Art Unit:

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Filed:

Herewith

Docket:

17079

For:

OPTICAL MULTI-DEMULTIPLEXER

Dated:

October 1, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. Japanese Patent Application KOKAI Publication No. 7-333447, dated December 22, 1995;
- 2. Japanese Patent Application KOKAI Publication No. 9-297228, dated November 18, 1997; and
- 3. Japanese Patent Application KOKAI Publication No. 10-197735, dated July 31, 1998.

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 1, 2003

Applicants are submitting copies of the above-cited references. The relevance of the above-cited references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Thomas Spinelli

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TS:cm

Application Number Docket Number (Optional) 17079 To be assigned INFORMATION DISCLOSURE CITATION Applicant(s) Junji Yamauchi, et al. (Use several sheets if necessary) Filing Date Group Art Unit Herewith Unassigned **U.S. PATENT DOCUMENTS** FILING DATE EXAMINER SUBCLASS DATE NAME CLASS REF DOCUMENT NUMBER INITIAL IF APPROPRIATE FOREIGN PATENT DOCUMENTS Translation CLASS SUBCLASS COUNTRY REF DOCUMENT NUMBER DATE YES NO 12/22/1995 Japan 7-333447 9-297228 11/18/1997 Japan 7/31/1998 Japan 10-197735

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.